

UMB RESEARCH/SERVICES AGREEMENT: CLASSIFIED AND PROPRIETARY WORK WAIVER**Policy: IV-2-20**

Sponsor:

Faculty Name:

Department:

Questions 1 - 5 must be answered yes or no.	1	Y	N	Does the proposed work fit within the Institution's mission and meet its ethical standards?
	2	Y	N	Are there any National interests (security, public health, etc) to be considered? If so, please attach which national interests may be affected.
	3	Y	N	Will any classified or proprietary work restrictions adversely affect the academic progress of any student and/or researcher at any point involved in the work?
	4	Y	N	PI has conferred with all faculty, post docs and other research personnel involved in the work understand the implications of performing the work that may not be immediately publishable in a publicly available form, and possible impact on academic/professional progress?
	5	Y	N	Has PI determined if any foreign national, student, visitor, or external collaborator will work on this project or have access to the results of the project?

PI understands and acknowledges these concerns listed in questions 6 - 9.	6	Y	N	Have all relevant research policies and procedures, including general safety and security, Institutional Review Board (IRB), Institutional Animal Care and Use Committee (IACUC), environmental considerations, and IT and data security carefully evaluated and appropriately articulated in the agreement?
	7	Y	N	Is there proper documentation provided within the award document that protects the institution (indemnification by sponsor, etc), and accepted security measures are in place to assure that any on-campus work does not place the institution at undue risk?
	8	Y	N	Are there any other circumstances relevant to a specific project or program documented within the Project Scope of Work that would raise concerns of accepting this waiver?
	9	Y	N	Does the work violate any federal or state laws, regulations or guidelines on ethics or protection of privacy with respect to any person involved in the work as a participant, investigator or subject?

Documentation Attached:	Y	N	See Research; Office of Research and Development: Pre Award Process for instructions
-------------------------	---	---	--

Signature of Principal Investigator

Name:	Signature	Date:
-------	-----------	-------

Signature of:

Assistant Vice President, ORD	Signature	Date:
-------------------------------	-----------	-------

Reviewed and Acknowledged:

Vice President, Research and Development	Signature	Date:
--	-----------	-------

EVP & Provost/Dean Graduate School	Signature	Date:
------------------------------------	-----------	-------

Waiver Granted:

President	Signature	Date:
-----------	-----------	-------